

Express Mail Label No. EL229537944US as filed 4/5/99

COPY

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name. I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: **SMART INTERFACE TECHNOLOGY**. The specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claim

Yes No

--	--

Number

Country

Day/Month/Year Filed

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

Status: Patented, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First Joint Inventor: Bruce W. StelmanInventor's Signature: Bruce W. Stelman
3/27/96
Date
Residence: 7448 Tulare Hills Drive, San Jose, CA 95139Citizenship: United States of AmericaPost Office Address: 7448 Tulare Hills Drive, San Jose, CA 95139

PATENT

Attorney Docket No.: HELLO-00695

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group:
Bruce W. Stelman) Art Unit:
Serial No.:) Examiner:
Filed:)
For: SMART INTERFACE TECHNOLOGY) POWER OF ATTORNEY BY ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

HELLO DIRECT, INC., Assignee of the above-identified application by Assignment dated _____ hereby appoints the members of the firm of HAVERSTOCK & ASSOCIATES, a firm including Thomas B. Haverstock (Reg. No. 32,571) and Jonathan O. Owens (Reg. No. 37,902), 260 Sheridan Avenue, Suite 420, Palo Alto, California 94306, telephone: (415) 833-0160, facsimile: (415) 833-0170, as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

Thomas B. Haverstock
HAVERSTOCK & ASSOCIATES
260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
Telephone: (415) 833-0160
Facsimile: (415) 833-0170

I hereby certify that the Assignment document filed with the application or filed subsequent to the filing date of the application, has been reviewed and I hereby certify that, to the best of my knowledge and belief, title is with HELLO DIRECT, INC..

HELLO DIRECT, INC.

Dated: _____

By: _____
Name: E. Alexander Glover
Title: Executive Vice President

COPY

Applicant Or Patentee: **Bruce W. Stelman**
Serial or Patent No.:
Filed or Issued:
Entitled: **SMART INTERFACE TECHNOLOGY**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR § 1.9(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

 X the owner of the small business concern identified below:
 X an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: **HELLO DIRECT, INC.**
Address of Concern: **5884 Eden Park Place, San Jose, CA 95138**

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §§ 121.3-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled **SMART INTERFACE TECHNOLOGY** by inventor(s) **Bruce W. Stelman** described in:

 X the specification filed herewith
 Application Serial No. , filed
 Patent No. , issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR § 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27).

Full Name: _____
Address: _____
 [] Individual [] Small Business Concern [] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: E. Alexander Glover
Title in Organization: Executive Vice President
Address of Person Signing: 5884 Eden Park Place, San Jose, CA 95138

Dated: _____ Signature: _____

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Express Mail Label No. EL229537944US

PATENT
Attorney Docket No.: HELLO-00300

COPY

Applicant Or Patentee: Bruce W. Stelman
 Serial or Patent No.: 08/625,398
 Filed or Issued: 3/27/96
 Entitled: SMART INTERFACE TECHNOLOGY

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
 STATUS (37 CFR § 1.9(e)) - SMALL BUSINESS CONCERN

I hereby declare that I am

☒ the owner of the small business concern identified below;
☐ an official of the small business concern empowered to act on behalf of the concern identified below;

Name of Concern: HELLO DIRECT, INC.
 Address of Concern: 4893 Rue Ferrari, San Jose, CA 95138

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR §§ 121.3-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement: (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled SMART INTERFACE TECHNOLOGY by inventor(s) Bruce W. Stelman described in:

☒ the specification filed herewith
☐ Application Serial No. 08/625,398, filed 3/27/96
☐ Patent No., issued

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR § 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR § 1.9(d) or a nonprofit organization under 37 CFR § 1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention according to their status as small entities. (37 CFR § 1.27).

Full Name: _____
 Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing: Ronald Becht
 Title in Organization: Vice President Product Marketing
 Address of Person Signing: 4893 Rue Ferrari, San Jose, CA 95138

Dated: July 29, 1998

Signature: Ronald Becht

15503 U.S. PTO
 09/286249
 04/05/99

Express Mail Label No. EL229537944US

COPY

Attorney Docket No.: HEL 0-00300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bruce W. Steiman

Serial No.: 08/625,398

Filed: 3/27/96

For: SMART INTERFACE TECHNOLOGY

) Group: 2700
) Art Unit: 2742
) Examiner: J. Saint-Surin

POWER OF ATTORNEY BY ASSIGNEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

HELLO DIRECT, INC., Assignee of the above-identified application by Assignment dated July 24, 1997 hereby appoints the members of the firm of HAVERSTOCK & OWENS LLP, a firm including Thomas B. Haverstock (Reg. No. 32,571), Jonathan O. Owens (Reg. No. 37,902), Derek J. Westberg (Reg. No. 40,172) and Richard H. Butt (Reg. No. 40,932), 260 Sheridan Avenue, Suite 420, Palo Alto, California 94306, telephone: (650) 833-0160, facsimile: (650) 833-0170, as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

Thomas B. Haverstock
HAVERSTOCK & OWENS LLP
260 Sheridan Avenue, Suite 420
Palo Alto, California 94306
Telephone: (650) 833-0160
Facsimile: (650) 833-0170

I hereby certify that the Assignment document filed with the application or filed subsequent to the filing date of the application, has been reviewed and I hereby certify that, to the best of my knowledge and belief, title is with HELLO DIRECT, INC..

HELLO DIRECT, INC.

Dated: July 29, 1998

By: Ronald Becht
Name: Ronald Becht
Title: Vice President Product Marketing

jc503 U.S. PTO
09/286249
04/05/99



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

CCF7

SEPTEMBER 29, 1997

PTAS

HAVERSTOCK & ASSOCIATES
THOMAS B. HAVERSTOCK
260 SHERIDAN AVENUE, STE. 420
PALO ALTO, CA 94306



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09/286249
04/05/99

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/28/1997

REEL/FRAME: 8678/0110
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

STELMAN, BRUCE

DOC DATE: 07/24/1997

ASSIGNEE:

HELLO DIRECT, INC.
5893 RUE FERRARI
SAN JOSE, CALIFORNIA 95138

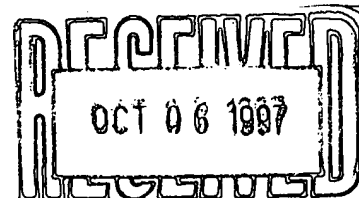
SERIAL NUMBER: 08625398

FILING DATE: 03/27/1996

PATENT NUMBER:

ISSUE DATE:

SHIRLIE SIMON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



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ASSIGNMENT

WHEREAS, I, Bruce W. Stelman, herein after referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: SMART INTERFACE TECHNOLOGY

Date of Execution: 7-24-97 Filing Date: March 27, 1996 Serial No.: 08/625,398

WHEREAS, HELLO DIRECT, INC., a corporation of the State of Delaware, 5893 Rue Ferrari, San Jose, CA 95138, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for and in consideration of the sum of One Dollar (\$1.00) lawful money paid to Assignor by Assignee, receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents do sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignor further agrees that he will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on the date indicated below.

Bruce W. Stelman
Bruce W. Stelman

STATE OF California
COUNTY OF Santa Clara

SS.

On this 24th day of July, in the year of 1997, before me, the undersigned notary public, personally appeared the above-named Assignors, known to me (or proved to me on the basis of satisfactory evidence) to be the persons whose names are subscribed to the within instrument, and acknowledged that they executed the same.

Thomas B. Haverstock
NOTARY PUBLIC

SEAL

